

證券交收指示/ 投資者交收指示表格

SETTLEMENT INSTRUCTION / INVESTOR SETTLEMENT INSTRUCTION FORM

賬戶號碼

Account No.

中文名稱 Chinese Name	英文名稱 English Name
身份證/護照/ 公司註冊號碼 ID / Passport / CI No.	聯絡電話 Contact No.

- 請安排將下述證券接收至本人(等)的證券戶口內。  
Please arrange to **RECEIVE** the below securities into my/our Securities Account.
- 請安排將下述證券由本人(等)的證券戶口內交付。  
Please arrange to **DELIVER** the below securities from my/our Securities Account.

請根據下列資料將交收指示 / 投資者交收指示輸入中央結算及交收系統：

Please input the following Settlement Instruction (SI) / Investor Settlement Instruction (ISI) into Central Clearing and Settlement System:

交收對手之中央結算及交收系統參與者編號 CCASS Participant ID of Counterparty	交收對手名稱 Name of Counterparty
交收對手聯絡人姓名 Name of Counterparty	交收對手聯絡人電話 TelNo. of Counterparty
	交收日期 Settlement Date

如沒有選擇以下框內的付款方式，將視做為“無須付款交收”。

“Free of Payment” will assume to be the default payment instruction if neither choice in the box was selected.

證券代號 Stock Code	證券名稱 Stock Name	數量 Quantity	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Fee of Payment <input type="checkbox"/> 貸銀對付(港元) Against Payment (HK\$) _____
			<input type="checkbox"/> 無須付款 Fee of Payment <input type="checkbox"/> 貸銀對付(港元) Against Payment (HK\$) _____
			<input type="checkbox"/> 無須付款 Fee of Payment <input type="checkbox"/> 貸銀對付(港元) Against Payment (HK\$) _____
			<input type="checkbox"/> 無須付款 Fee of Payment <input type="checkbox"/> 貸銀對付(港元) Against Payment (HK\$) _____
			<input type="checkbox"/> 無須付款 Fee of Payment <input type="checkbox"/> 貸銀對付(港元) Against Payment (HK\$) _____


請在適當的空格上加上「✓」 Please choose an appropriate box by putting a “✓”

- 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而作出變動。  
I/We confirm that there is **NO CHANGE** in beneficial ownership through effecting this settlement instruction.
- 本人/吾等確認上述股票的實益擁有權因進行本交收指示而作出變動。現附上已繳付印花稅之買賣單據正本以供保存。  
I/We confirm that there is **CHANGE** in beneficial ownership through effecting this settlement instruction. I/We provide the original of stamped Bought / Sold note for your record.

實益擁有權變動原因 Reason of Change Beneficial Ownership	實益擁有權與本人/吾等之關係 Relationship with Beneficial Ownership
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本人/吾等亦承諾就本交收指示可能令南方匯發證券有限公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向南方匯發作出彌償及使之獲得彌償。

I/We also undertake to indemnify and keep China Southern Securities Limited indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

簽署 SIGNATURE	
個人或聯名賬戶第一持有人/ 公司賬戶獲授權人 Individual or Primary Joint Account Holder / Authorized Person of Corporate Account	聯名賬戶第二持有人 Secondary Joint Account Holder
	
名稱正楷 Print Name	名稱正楷 Print Name
日期 (日/月/年) Date (DD/MM/YYYY)	日期 (日/月/年) Date (DD/MM/YYYY)

本公司專用欄 FOR OFFICIAL USE ONLY				
Total Charge:	Signature Verified By	Checked By	Approved By	Processed By
	Date:	Date:	Date:	Date: